



PATENT

## UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
**John C. Harvey and James W. Cuddihy:**

Serial No.: 08/487,411

Filed: June 7, 1995

For: **SIGNAL PROCESSING APPARATUS  
AND METHODS**

Group Art Unit: 2737

Examiner: FAILE, A.

Attorney Docket: 05634.0318

**ATTN: APPLICATION PROCESSING DIVISION,  
SPECIAL PROCESSING & CORRESPONDENCE BRANCH**

RECEIVED

JAN 4 2001

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

OFFICE OF PETITIONS

**NOTIFICATION OF CHANGE IN STATUS UNDER 37 C.F.R. § 1.28(B) AND  
PAYMENT OF FEE DEFICIENCIES UNDER 37 C.F.R. § 1.28(C)**

Sir:

Upon review of the claims as filed in the above-referenced application and the "field of use" clause in the application's assignee's license contract with a firm that is a large entity, it has come to the applicant's attention that the present application requires large entity status.

Pursuant to 37 C.F.R. § 1.28(b), Attorneys for Applicants hereby provide notification that Personalized Media Communications, L.L.C. ("PMC"), the assignee of the above referenced application Serial No. 08/487,411 does not qualify for small entity status under M.P.E.P. § 509.02 and 37 C.F.R. § 1.9 for paying reduced patent fees for the above referenced application and that the claim for small entity status for the above-referenced application Serial No. 08/487,411 is hereby withdrawn.

Enclosed herewith is assignee's check no. 3249 for the recalculated fees of \$897.00 to convert the above-referenced application from small to large entity status in accordance with 37 C.F.R. § 1.28(c). The following table identifies each of applicant's

Adjustment date: 01/08/2001	000000011 08487411	710.00 OP
01/04/2001 SLUANG	000000011 08487411	160.00 OP
03 FC:699		470.00 OP
Adjustment date: 01/08/2001	000000004 08487411	890.00 OP
08/28/1997 KHARLIN	000000004 08487411	45.00 OP
01 FC:217		
01/08/2001 LGIBBS	000000005 08487411	
01 FC:117		
02 FC:197		
01/04/2001 SLUANG	000000011 08487411	
01 FC:101		
02 FC:102		
03 FC:699		

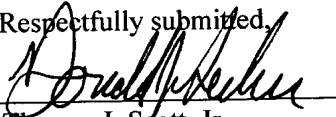
submissions to the Patent and Trademark Office ("PTO") necessitating the enclosed payment and the amount to bring the application in conformity to large entity status.

Description of Submission to PTO	Amount Deficient
New application filing:	\$365.00
Preliminary amendments:	\$78.00
Response to first action new claim fees:	
Response to first action extension of time:	\$454.00
Response to second action new claim fees:	
Response to second action extension of time:	
Response to final action new claim fees:	
Response to final action extension of time:	
1.129(a) submission:	
<b>TOTAL</b>	<b>\$897.00</b>

Applicants respectfully request the records of the PTO be revised to indicate that the above-referenced application should now be considered the application of a large entity.

The Commissioner is hereby authorized to charge or credit the deposit account of **Hunton & Williams**, Deposit Account No. **50-0206**, for any insufficient funds or overpayments in connection with the filing of the instant papers.

Date: December 29, 2000  
**HUNTON & WILLIAMS**  
1900 K Street, N.W.  
12<sup>th</sup> Floor  
Washington, D.C. 20006

Respectfully submitted,  
  
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Donald J. Lecher  
Reg. No. 41,933  
Attorneys for Applicants  
Tel: (202) 955-1938

# Transaction List

Search by

☐ Accounting Date:  Operator ID:

(MMDDYY)

Accounting Date

Starts:

Ends:

☒ Name/Number: 08487411

☐ Attny Docket No.:

☐ Deposit Account No.:



Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
04/12/1999	MFLETCH	27	SALE	103	A	1,224.00	08487411	
04/12/1999	MFLETCH	26	SALE	102	A	1,248.00	08487411	
09/10/1998	TRIDDICK	5	SALE	117	A	950.00	08487411	
08/28/1997	KHARLING	8	SALE	203	A	121.00	08487411	
08/28/1997	KHARLING	7	SALE	217	A	465.00	08487411	
12/18/1995	230DD	10801	CRDA	202	A	78.00	08487411	
10/02/1995	070DF	7001601	CRDA	205	A	65.00	08487411	
09/12/1995	020TT	16201	CRDA	215	A	55.00	08487411	
07/17/1995	280TL	5401	CRDA	201	A	365.00	08487411	

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083038



Adjustment Detail

Authorization Detail



2:56 PM

Adjustment Date: 01/04/2001 SLUANG1 Nm/Nb: 08487411  
07/17/1995 280TL 0000005401 FC:201 -365.00 0

Adjustment Date: 01/04/2001 SLUANG1 Nm/Nb: 08487411  
12/18/1995 230DD 0000010801 FC:202 -78.00 0